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EB846734543US
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2007 DEC 17 AM 3:54

Inventors: Gerardo Castillo

Examiner: Tate, Christopher Robin

Serial No.: 10/624,435

Group Art Unit: 1655

Filing Date: 07/21/2003

Attorney Docket No.: PROTEO. P18D2

Title of Invention: Methods of Isolating Amyloid-Inhibiting Compounds and use of Compounds Isolated from Uncaria Tomentosa and Related Plants

Kirkland, Washington 98034
December 12, 2007

Mail Stop 16
Director of the USPTO
PO Box 1450
Alexandria, VA 22313-1450

Small E.

TRANSMITTAL and REQUEST FOR REFUND

Applicant herewith submits the following items in support of a request for a refund:

1. Copy of Transmittal dated November 14, 2007 (1 page);
2. Copy of Fees Transmittal PTOL-85 sent November 14, 2007 (1 page).

Applicant discovered that the large entity fee of \$1440 was charged to Deposit Account #50-4423. Applicant claims small entity status. Applicant respectfully requests that the difference between the large entity fee withdrawn (\$1440) and the small entity fee required (\$720) for a total of \$720.00 be refunded to Deposit Account #50-4423.

01/15/2008 RCLEMONS 00000011 504423 10624435
01 FC:2501 720.00 DA

EXPRESS MAIL CERTIFICATE (37 C.F.R. § 1.10)

I hereby certify that this correspondence, together with all papers and fees listed as attached or enclosed, are being deposited on the date indicated herein with the United States Postal Service "Express Mail Post Office to Addressee" service Express Mail #EB846734543US with sufficient postage, addressed to Mail Stop 16, Director of the USPTO, PO Box 1450, Alexandria, VA 22313-1450.

December 12, 2007

Karen Duncan
Karen Duncan

EB846734543US
PATENT

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Rebecca Eagen', with a long horizontal flourish extending to the right.

REBECCA EAGEN
Reg. No. L0386
PROTEOTECH, INC.
12040 115TH AVE N.E.
KIRKLAND, WA 98034

Tel. No. (425) 823-0400 x39

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Castillo et al.

Examiner: Tate, C.

Serial No.: 10/624,435

Group Art Unit: 1655

Filing Date: 07/21/2003

Attorney Docket: PROTEOP18D2

Title of Invention: Methods of Isolating Amyloid-Inhibiting Compounds and Use of Compounds
Isolated from Uncaria Tomentosa and Related Plants

Kirkland, Washington 98034
November 14, 2007

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EB846734755US

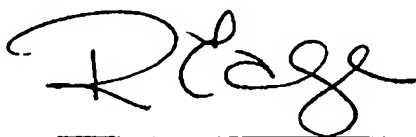
TRANSMITTAL

Applicant herewith submits the following items in response to a Notice of Allowance
and Fees Due dated 8/31/2007:

1. Two (2) Fee(s) Transmittal PTOL-85 (2 pages)
2. Please debit \$1030 from Deposit Account #50-4423.

Respectfully submitted,

Tel. No. (425) 823-0400 x39



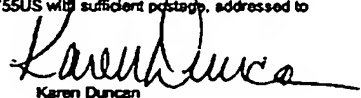
REBECCA EAGEN
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PROTEOTECH, INC.
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KIRKLAND, WA 98034

EXPRESS MAIL CERTIFICATE (37 C.F.R. § 1.10)

I hereby certify that this correspondence, together with all papers and fees listed as attached or enclosed, are being deposited on the date indicated herein with the United States Postal Service "Express Mail Post Office to Addressee" service Express Mail #EB846734755US with sufficient postage, addressed to Mail Stop Issue Fee, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450.

November 14, 2007


Karen Duncan

P. T B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or **Fax** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

7590

08/31/2007

PROTEOTECH, INC.
12040 115TH AVE. NE
KIRKLAND, WA 98034

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

<i>Karen Duncan</i>	(Depositor's name)
<i>Karen Duncan</i>	(Signature)
<i>Nov. 14, 2007</i>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/624,435	07/21/2003	Gerardo Castillo	PROTEO.P18D2	9247

NAME OF INVENTION: METHODS OF ISOLATING AMYLOID-INHIBITING COMPOUNDS AND USE OF COMPOUNDS ISOLATED FROM *ARIA TOMENTOSA* AND RELATED PLANTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	11/30/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
TATE, CHRISTOPHER ROBIN	1655	424-725000

Change of correspondence address or indication of "Fee Address" (37 CFR 1.33)

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1	_____
2	_____
3	_____

ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recording as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

Following fee(s) are submitted:

Issue Fee

Publication Fee (No small entity discount permitted).

Advance Order - # of Copies 10

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☒ A check is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-4423 (enclose an extra copy of this form).

3e. In Entity Status (from status indicated above)

Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

or printed name

Registration No.

Collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) the application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the application, suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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